

Sector

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Rosenbluth et al.

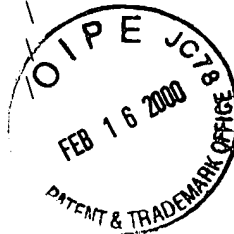
Group Art Unit: 3738

Serial No: 09/437,560

Filed: November 10, 1999

Examiner: unknown

For: Embolectomy Catheters and
Methods for Treating Stroke and Other
Small Vessel Thromboembolic Disorders



ATTENTION: Box Missing Parts
Assistant Commissioner for Patents
Washington, D.C. 20231

RESPONSE TO NOTICE TO FILE MISSING PARTS

Sir:

In response to the Notice to File Missing Parts dated December 13, 1999, please find enclosed a properly executed declaration, verified statement claiming small entity status (small business concern), statement of ownership, and assignment with recordation cover sheet.

Check #1471 in the amount of \$ 720 to cover the surcharge, the basic filing fee, and assignment recordation fee is enclosed

☒ The Commissioner is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account No. 50-0878.

☒ Any additional filing fees required under 37 CFR 1.16.

☒ Any patent application processing fees under 37 CFR 1.17.

Respectfully submitted,

Robert D. Buyan
Attorney for Applicant
Registration Number: 32,460

February 11, 2000
4 Venture, Suite 300
Irvine, CA 92618
phone: 949-450-1750
facsimile: 949-450-1764

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal service as first class mail in an envelope addressed to the Assistant Commissioner for Patents, Attention: Box Missing Parts, Washington, D.C. 20231 on February 11, 2000.

Date:

2/11/00

Signature:

Annette Brooks, Assistant

ALL-PURPOSE NOTARIAL ACKNOWLEDGMENT

State of California

County of Orange

On Feb 3, 2000 before me, Sue Lyons, Notary Public
(Date) (Name, Title of Officer)

(Date)

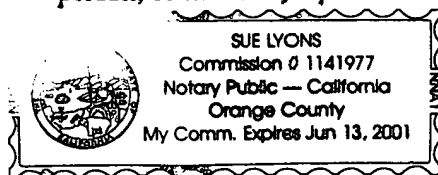
(Name, Title of Officer)

personally appeared Robert F. Rosenbluth

☒ personally known to me
- OR -

- OR -

☐ proved to me on the basis of satisfactory evidence to be the person whose name is subscribed to the within instrument and acknowledged to me that he/she executed the same in his/her authorized capacity, and that by his/her signature on the instrument the person, or the entity upon behalf of which the person acted, executed the instrument.



WITNESS my hand and official seal.

Lee Lyons

Though the data below is not required by law, it may prove valuable to persons relying on the document and could prevent fraudulent reattachment of this form.

CAPACITY CLAIMED BY SIGNER

☒ INDIVIDUAL
☐ CORPORATE OFFICER

(TITLE)

☐ PARTNERSHIP
☐ LIMITED ☐ GENERAL
☐ ATTORNEY-IN-FACT
☐ TRUSTEE
☐ GUARDIAN/CONSERVATOR
☐ OTHER:

SIGNER IS REPRESENTING:

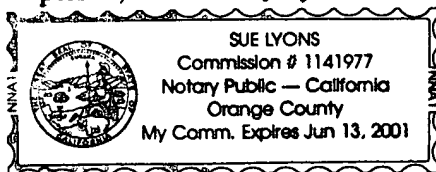
DESCRIPTION OF ATTACHED DOCUMENT

Assignment
TITLE OR TYPE OF DOCUMENT
Invention/Patent Assignment

NUMBER OF PAGES DATE OF DOCUMENT

SIGNER (OTHER THAN NAMED ABOVE)

ALL-PURPOSE NOTARIAL ACKNOWLEDGMENT

State of CaliforniaCounty of OrangeOn Feb 3, 2000 before me, Sue Lyons, Notary Public
(Date) (Name, Title of Officer)personally appeared Thomas Sternweiler☒ personally known to me
- OR -☐ proved to me on the basis of satisfactory evidence to be the person whose name is subscribed to the within instrument and acknowledged to me that he/she executed the same in his/her authorized capacity, and that by his/her signature on the instrument the person, or the entity upon behalf of which the person acted, executed the instrument.

WITNESS my hand and official seal.

Sue Lyons

Though the data below is not required by law, it may prove valuable to persons relying on the document and could prevent fraudulent reattachment of this form.

CAPACITY CLAIMED BY SIGNER

- ☒ INDIVIDUAL
☐ CORPORATE OFFICER

(TITLE)

- ☐ PARTNERSHIP
☐ LIMITED ☐ GENERAL
☐ ATTORNEY-IN-FACT
☐ TRUSTEE
☐ GUARDIAN/CONSERVATOR
☐ OTHER:

SIGNER IS REPRESENTING:

DESCRIPTION OF ATTACHED DOCUMENT

Assignment

TITLE OR TYPE OF DOCUMENT

Invention/Patent Assignment

NUMBER OF PAGES DATE OF DOCUMENT

SIGNER (OTHER THAN NAMED ABOVE)

ALL-PURPOSE NOTARIAL ACKNOWLEDGMENT

State of California

County of Orange

On Feb 3, 2000 before me, Sue Lyons, Notary Public
(Date) (Name, Title of Officer)

(Date)

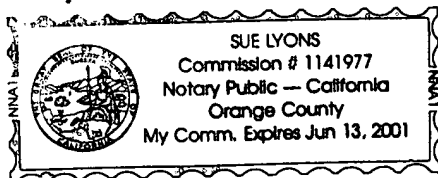
(Name, Title of Officer)

personally appeared George R. Greene, Jr.

☒ personally known to me
- OR -

- OR -

☐ proved to me on the basis of satisfactory evidence to be the person whose name is subscribed to the within instrument and acknowledged to me that he/she executed the same in his/her authorized capacity, and that by his/her signature on the instrument the person, or the entity upon behalf of which the person acted, executed the instrument.



WITNESS my hand and official seal.

Sue Lyons

Though the data below is not required by law, it may prove valuable to persons relying on the document and could prevent fraudulent reattachment of this form.

CAPACITY CLAIMED BY SIGNER

☒ INDIVIDUAL
☐ CORPORATE OFFICER

(TITLE)

☐ PARTNERSHIP
☐ LIMITED ☐ GENERAL
☐ ATTORNEY-IN-FACT
☐ TRUSTEE
☐ GUARDIAN/CONSERVATOR
☐ OTHER:

SIGNER IS REPRESENTING:

DESCRIPTION OF ATTACHED DOCUMENT

Assignment

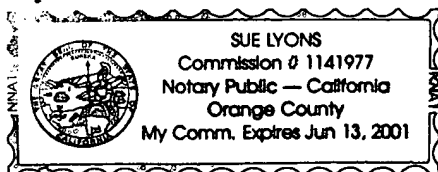
TITLE OR TYPE OF DOCUMENT

Invention/Patent Assignment

NUMBER OF PAGES DATE OF DOCUMENT

SIGNER (OTHER THAN NAMED ABOVE)

ALL-PURPOSE NOTARIAL ACKNOWLEDGMENT

State of CaliforniaCounty of OrangeOn Feb 3, 2000 before me, Sue Lyons, Notary Public
(Date) (Name, Title of Officer)personally appeared Brian J. Cox☒ personally known to me
- OR -☐ proved to me on the basis of satisfactory evidence to be the person whose name is subscribed to the within instrument and acknowledged to me that he/she executed the same in his/her authorized capacity, and that by his/her signature on the instrument the person, or the entity upon behalf of which the person acted, executed the instrument.

WITNESS my hand and official seal.

Sue Lyons

Though the data below is not required by law, it may prove valuable to persons relying on the document and could prevent fraudulent reattachment of this form.

CAPACITY CLAIMED BY SIGNER

- ☒ INDIVIDUAL
☐ CORPORATE OFFICER

(TITLE)

- ☐ PARTNERSHIP
☐ LIMITED ☐ GENERAL
☐ ATTORNEY-IN-FACT
☐ TRUSTEE
☐ GUARDIAN/CONSERVATOR
☐ OTHER:

SIGNER IS REPRESENTING:

DESCRIPTION OF ATTACHED DOCUMENT

Assignment
 TITLE OR TYPE OF DOCUMENT
 Invention/Patent Assignment

NUMBER OF PAGES DATE OF DOCUMENT

SIGNER (OTHER THAN NAMED ABOVE)

ALL-PURPOSE NOTARIAL ACKNOWLEDGMENT

State of California

County of Orange

On Feb 3, 2000 before me, Sue Lyons, Notary Public
(Date) (Name, Title of Officer)

(Date)

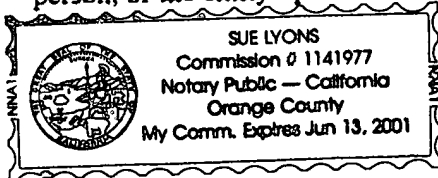
(Name, Title of Officer)

personally appeared Sean Chow

☒ personally known to me
- OR -

- OR -

☐ proved to me on the basis of satisfactory evidence to be the person whose name is subscribed to the within instrument and acknowledged to me that he/she executed the same in his/her authorized capacity, and that by his/her signature on the instrument the person, or the entity upon behalf of which the person acted, executed the instrument.



WITNESS my hand and official seal.

Sue Lyons

Though the data below is not required by law, it may prove valuable to persons relying on the document and could prevent fraudulent reattachment of this form.

CAPACITY CLAIMED BY SIGNER

☒ INDIVIDUAL
☐ CORPORATE OFFICER

(TITLE)

☐ PARTNERSHIP
☐ LIMITED ☐ GENERAL
☐ ATTORNEY-IN-FACT
☐ TRUSTEE
☐ GUARDIAN/CONSERVATOR
☐ OTHER:

SIGNER IS REPRESENTING:

DESCRIPTION OF ATTACHED DOCUMENT
Assignment

TITLE OR TYPE OF DOCUMENT
Invention/Patent Assignment

NUMBER OF PAGES DATE OF DOCUMENT

SIGNER (OTHER THAN NAMED ABOVE)



ALL-PURPOSE NOTARIAL ACKNOWLEDGMENT

State of California

County of Orange

On Feb 3, 2000 before me, Suehyong Notary Public
(Date) (Name, Title of Officer)

(Date)

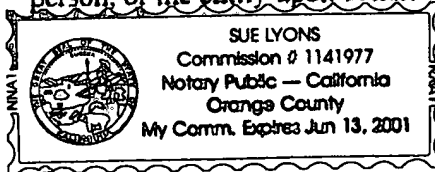
(Name, Title of Officer)

personally appeared Richard Monetti

☒ personally known to me
- OR -

- OR -

☐ proved to me on the basis of satisfactory evidence to be the person whose name is subscribed to the within instrument and acknowledged to me that he/she executed the same in his/her authorized capacity, and that by his/her signature on the instrument the person, or the entity upon behalf of which the person acted, executed the instrument.



WITNESS my hand and official seal.

Sue Lyons

Though the data below is not required by law, it may prove valuable to persons relying on the document and could prevent fraudulent reattachment of this form.

CAPACITY CLAIMED BY SIGNER

☒ INDIVIDUAL
☐ CORPORATE OFFICER

☐ CORPORATE OFFICER

(TITLE)

☐ PARTNERSHIP
☐ LIMITED ☐ GENERAL
☐ ATTORNEY-IN-FACT
☐ TRUSTEE
☐ GUARDIAN/CONSERVATOR
☐ OTHER:

SIGNER IS REPRESENTING:

DESCRIPTION OF ATTACHED DOCUMENT
Assignment

TITLE OR TYPE OF DOCUMENT
Invention/Patent Assignment

NUMBER OF PAGES DATE OF DOCUMENT

SIGNER (OTHER THAN NAMED ABOVE)